form8-ka.htm	8-K/A	1 of 4
		10/10/2023 07:43 DM

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### FORM 8-K/A

(Amendment No. 1)

#### **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): September 6, 2023

# LADRX CORPORATION

(Exact name of registrant as specified in its charter)

**Delaware** (State or other jurisdiction of incorporation)

**000-15327** (Commission File Number)

58-1642740 (IRS Employer Identification No.)

11726 San Vicente Boulevard, Suite 650 Los Angeles, California 90049 (Address of principal executive offices) (Zip Code)

Registrant's telephone number, including area code: (310) 826-5648

Former name or former address, if changed since last report: None

Check the appropriate box below if the Form 8-K filing is i following provisions:	intended to simultaneously satisf	fy the filing obligation of the registrant under any of the		
Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)				
Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)				
□ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))				
□ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))				
Securities registered pursuant to Section 12(g) of the Act:				
Title of each class	Trading Symbol(s)	Name of each exchange on which registered		
Common Stock, par value \$0.001 per share Series B Junior Participating Preferred Stock Purchase Rights	LADX	OTC Markets		

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§ 230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§ 240.12b-2 of this chapter). Emerging growth company □

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.  $\Box$ 

#### EXPLANATORY NOTE

This Current Report on Form 8-K/A (this "Amendment") amends the Current Report on Form 8-K of LadRx Corporation (the "Company") filed with the U.S. Securities and Exchange Commission on September 11, 2023 (the "Original Form 8-K"). The sole purpose of this Amendment is to amend and restate in its entirety, Item 5.02 of the Original Form 8-K to disclose the future grant date of certain stock options to be made by the Board of Directors (the "Board") of the Company, which was inadvertently omitted from the Original Form 8-K. No other changes have been made to the Original Form 8-K.

# Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

On September 7, 2023, the Board approved the first amendment (the "Plan Amendment") to the LadRx Corporation 2019 Stock Incentive Plan (the "Plan"), effective as of the same date. The Plan Amendment amends the Plan to (i) reflect the Company's recent name change from CytRx Corporation to LadRx Corporation, and (ii) increase the aggregate number of shares of common stock, par value \$0.001 per share (the "Common Stock"), that may be issued under the Plan, as set forth in Section 4(a) of the Plan, by an additional 75,000 shares of Common Stock.

On September 7, 2023, the Board additionally approved and set January 16, 2024 as the grant date for certain stock options to purchase shares of Common Stock to certain directors and officers of the Company, which such amounts shall be determined at a future date.

The foregoing description of the Plan Amendment does not purport to be complete and is qualified in its entirety by reference to the complete text of the Plan Amendment, a copy of which is attached hereto as Exhibit 10.1 and is incorporated herein by reference.

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

## LADRX CORPORATION

Date: October 11, 2023

/s/John Y. Caloz

John Y. Caloz Chief Financial Officer